

Docket No.: PF-0509 USN

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box PCT, Commissioner for Patents, Washington, D.C. 20231 on June 20, 2002.

By: [Signature] Printed: Lyza Finuliar

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Hillman et al.

Title: HUMAN TRANSCRIPTIONAL REGULATOR MOLECULES

Serial No.: 09/674,743

Filing Date: To Be Assigned

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

Box PCT  
Commissioner for Patents  
Washington, D.C. 20231

FEE TRANSMITTAL SHEET

Sir:

Transmitted herewith are the following for the above-identified application:

1. Return Receipt Postcard;
2. Response to Notification of Defective Response (2 pp.);
3. Copy of Notification of Defective Response dated May 20, 2002 (2 pp.);
4. Substitute Submission Under 37 CFR § 1.821-1.825 Sequence Listing (1 pg.); and
5. One (1) Substitute CRF diskette containing the Sequence Listing.

The fee has been calculated as shown below:

☒ No additional Fee is required.

☒ Please charge Deposit Account No. 09-0108 in the amount of : \$ 0

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 20 June 2002

[Signature]  
Diana Hamlet-Cox  
Reg. No. 33,302  
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3160 Porter Drive  
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**RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE**

Sir:

In response to the "Notification of Defective Response" mailed by the United States Patent and Trademark Office on May 20, 2002, (copy attached) , Applicants submit the document(s) listed below to complete the filing for the above-identified patent application.

1. Substitute Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.); and
2. One (1) Substitute CRF diskette containing the Sequence Listing.

Applicants submit this amendment to request entry of the enclosed CRF of the sequence listing from International Application Number PCT/US99/09935 into the above-identified U.S. national phase patent application.

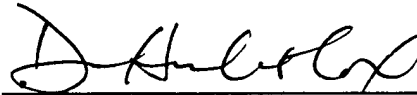
**Docket No.: PF-0509 USN**

The Applicants believe that no fee is due with this communication. However, if the Commissioner determines that additional fees are due or that an excess fee has been paid, the Patent Office is authorized to debit or credit (respectively) Deposit Account No. 09-0108.

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 20 June 2002



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MAY 28 2002

**COPY**



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 101  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/674,743	Jennifer Hiliman	PF-0509 USN

INTERNATIONAL APPLICATION NO.	
PCT/US99/09935	
I.A. FILING DATE	PRIORITY DATE
05/04/1999	05/05/1998

Incyte Genomics  
3160 Porter drive  
Palo Alto, CA 94304

**CONFIRMATION NO. 5333**  
**371 FORMALITIES LETTER**



\*OC00000008152858\*

Date Mailed: 05/20/2002

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - Stic cannot process this transfer request, because the office never received the parent case (us99/09935), which is necessary for a transfer
  - APPLICANT MUST PROVIDE:
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

MAY 28 2002

• For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 305-3656

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/674,743	PCT/US99/09935	PF-0509 US

FORM PCT/DO/EO/916 (371 Formalities Notice)